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jc571 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

12-21-99

Attorney Docket No. 0500.9907201 Total Pages 26  
First Inventor Robert Everett Parkhill  
Title Method and Apparatus for Updating Web  
Certificates  
Express Mail Label No. EL286432607US

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jc525 U.S. PTO  
09/466650  
12/20/99

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages 18  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

3. ☒ Drawings (35 USC 113) Total Sheets 3
4. ☒ Oath or Declaration Total Pages 2
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☒ 37 CFR 3.73(b) Statement ☒ Power of Attorney (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of Statement (IDS)/PTO-1449 IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☐ Small Entity ☐ Statement filed in Prior Application, Status still proper and desired.
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☒ Other Check for \$1082.00

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:  
Prior Application Information: Examiner Group / Art Unit:

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or, ☒ Correspondence Address Below

Markison & Reckamp, P.C.

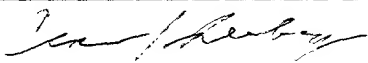
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Name (Print/Type)	Christopher J. Reckamp	REGISTRATION NUMBER	34,414
Signature		Date	Dec. 20, 1999

**PATENT APPLICATION  
DOCKET NO. 0500.9907201**

**In the United States Patent and Trademark Office**

**FILING OF A UNITED STATES PATENT APPLICATION**

**Title:**

**METHOD AND APPARATUS FOR UPDATING WEB CERTIFICATES**

**Inventors:**

<b>Name: Robert Everett Parkhill Address: 40 Largo Crescent Nepean, Ontario, Canada</b>	<b>Name: Address:</b>
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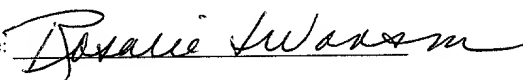
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Express Mail Label No. EL286432607US

Date of Deposit: December 20, 1999

I hereby certify that this paper is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. Section 1.10 on the 'Date of Deposit', indicated above, and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Name of Depositor: Rosalie Swanson  
(print or type)

Signature: 

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## METHOD AND APPARATUS FOR UPDATING WEB CERTIFICATES

### Field Of The Invention

10       The invention relates generally to systems and methods for updating data in communications systems, and more particularly to methods and systems for updating web certificates.

### Background Of The Invention

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      The use of certificates, or other data structures for purposes of information security is well known. For example, in public key infrastructures, public key certificates are issued by trusted root certification authorities (CA's) to allow users to confirm that public encryption keys and public verification keys have not expired for other users of the system so that information may be suitably encrypted or a digital signature may be verified based on a certificate issued by, and maintained by, a certification authority. As known in the art, web certificates are typically different from public key certificates since web certificates are typically not managed by a trusted certification authority.

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25       For example, different suppliers of web browsers may incorporate root CA certificates issued by many different sources. Each of these sources may issue a certificate with differing expiration dates. Management of the root CA certificates by a trusted authority is typically not used. Accordingly, a problem arises when different versions of web browsers are used by different users. For example, an older version of a web browser may have root CA certificates that expire sooner than root CA certificates that may be embedded in newer versions of web browsers. Accordingly, various

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certificate issuing entities may serve as different root CA's and issue certificates having differing expiry periods. When a root CA certificate expires, all servers which have web certificates that were issued by that CA will no longer be trusted by any browser which contains only the expired certificate for that CA.

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For a conventional web model, there is typically no way to detect the expiration of a web certificate prior to a request for a session with a web server. For example, web certificates that are preinstalled with web browsers from different issuers are typically not continually checked by the web browser to insure that they have not expired. Typically, a user will only be informed of a problem when the web browser attempts to set up a secure session with a web server. If the web certificate has expired, the session is not granted. One proposed solution has been to require a user to manually update a web browser that has prestored web certificates that expire at later dates. Typically, web servers will detect old web browser versions through, for example, web identification tags embedded in headers and identify a link (e.g., URL) to the site that may contain a new version of a web server. The user then typically clicks on a URL to connect to the site containing the new software version and downloads the new web browser containing web certificates with expiry periods later than those on previous web browser versions.

Alternatively, other solutions have included automatically detecting the version of the web browser based on the ID tag in the HTTP headers prior to setting up a secure session and identifying a site for a user to connect with to install the new root CA certificate in their browser. In addition, it is generally known to provide automatic software upgrades based on internal timers that a software application may have embedded therein, to notify the user to perform a manual update.

However, a problem arises with such techniques since, inter alia, a user typically is denied a secure session and is additionally required to manually obtain an upgrade version of a web server. Accordingly, when a user installs a new version of a web browser it is typically not possible for a web site to know that the web browser has the new root CA certificate without establishing an SSL connection or other suitable secure

session that requires the use of a new root CA certificate. This problem can be overcome by issuing a cookie to the user's browser. The next time the user visits the site, the server can check for the cookie. If the cookie exists, the server knows that the user has installed the new root CA certificate. However, other sites that also require the new root CA certificate cannot read that cookie. As such, each different server in a different domain may not be able to identify that the user has already installed the new root CA certificate.

Consequently, there exists a need for a method and system that facilitates the updating of data, such as web certificates, or other data, and allows a user to install or update the data and have the update recognized by differing server domains that participate in the system.

#### Brief Description Of The Drawings

The invention and its various aspects will be more readily understood in view of the following drawings, wherein:

FIG. 1 is a block diagram illustrating one example of a system for updating data in accordance with one embodiment of the invention;

FIG. 2 is a flow chart illustrating one example of the operation of the system of FIG. 1; and

FIG. 3 is a block diagram of the system of FIG. 1 wherein a first update has already occurred.

#### Detailed Description Of The Preferred Embodiment

Briefly, a method and system for updating data, such as root CA certificates, software applications, or other data, detects a need to update data based on a communication between a first processing entity, such as a computer with a web browser, and another processing entity, such as a web server. The web server detects the need to

update data and automatically redirects communication from the first processing entity and the second processing entity, so that the first processing entity communicates with a third processing entity. The third processing entity provides updated data, such as a new version of a web browser or other software application, and also provides update  
5 complete data indicating that the software, web browser or other data has been updated. The update complete data is provided for the second processing entity so that the second processing entity will suitably perform the process requested by the first processing entity.

10 For example in an embodiment applied to a system employing web certificates, the web browser contacts the web server, the web server, upon detecting an unsuitable version of web browser, notifies the web browser to go obtain new embedded web certificates. Accordingly, the web server automatically redirects the web browser to a third server such as a software update control server. The software update controller  
15 contains the latest version of the software, root CA certificate, or other data required by the web server. The web browser obtains a cookie from the software update controller, as well as a message for the web server embedded in an URL. The message in the URL from the software update controller is detected by the web server so that the web server 1) can issue it's own cookie to the browser to indicate that the upgrade has been complete  
20 and 2) trusts that the web browser has the unexpired web certificate or other updated data.

The systems and methods may be employed to update the software in different versions, provide unexpired root CA certificates, or provide any other suitable data. The system allows a user that has updated the root CA certificates to connect to a different  
25 site after upgrading wherein the different site detects if the data has already been upgraded or a new CA certificate downloaded to a web browser by detecting the universal cookie from the software update controller . For example, a first time through, a user manually inserts the new root CA certificate in the web browser. The next time the user accesses a site that is in the program, it will be automatic. The different web  
30 servers cannot typically detect a 'universal cookie' of any sort. The browser gets a cookie and a special message [WHAT IS THE MESSAGE NAME IN THE FIGS?] encoded

in the URL, or inserted into the HTTP headers, from the software update controller. The web server detects the special message in the URL or the HTTP headers, not in the cookie. The webserver then sets its own cookie for identification at a later date.

5 FIG. 1 illustrates a system 100 for updating data that includes first processing entities 102a-1-2n, such as devices containing a web browser, second processing entities 104a-104n, such as web servers, and a third processing entity 106, such as a software update controller (e.g., another server). The third processing entity 106 is preferably in operative communication with only the first processing entities 102a-102n. Also in a  
10 preferred embodiment, the plurality of second processing entities 104a-104n are in operative communication with the first processing entities 102a-102n but are not in communication with the third processing entity 106. For purposes of illustration and not limitation, the disclosed invention will be described with reference to an Internet-based system that employs web certificates as the data to be updated. However, it will be  
15 recognized that the invention may be applicable to any suitable information security system such as wireless communication systems, intranet based systems, any systems requiring updating of versions of software, or any other suitable system.

Each of the second processing entities 104a-104n include a common gateway  
20 interface 108. Similarly, the third processing entity 106, configured as a software update controller, also includes a common gateway interface 110. The common gateway interfaces 108, 110 may be any suitable software modules, hardware circuits or any suitable combination thereof. A common gateway interface, as known in the art of web servers, may include, for example, an external gateway program to interface with  
25 information servers such as HTTP servers, in compliance with the standard as may be found at Web address- <http://hoohoo.ncsa.uiuc.edu/cgi/overview.html>.

Referring to FIGS. 1 and 2, in operation, the first processing entity 102a generates a connection request 112 to the second processing entity 104a to initiate communication  
30 with the second processing entity 104a. This is shown in block 200. As shown in block 202, the second processing entity 104a receives the connection request 112. The second

processing entity 104a detects a need to update data, such as a need to update web certificates, version of a software application, or any other suitable data, for the first processing entity 102a based on the communication, such as the connection request 112. In this embodiment, detecting the need to update data includes determining whether the connection request 112 includes a cookie (cookie<sub>ws</sub>) (or other suitable tag data) previously provided from the second processing entity 104a, as shown in block 204. For example, where the connection request includes, for example, a URL associated with the second processing entity, a header with, for example, a browser ID tag, and if present, the cookie of the second processing entity stored by the first processing entity. The second processing entity 104 receives the connection request header and checks for whether or not there is a second processing entity cookie. If there is no second processing entity cookie (for example cookie<sub>ws</sub>), the method includes generating and sending a redirect command back to the first processing entity as shown in block 206. For example, the second processing entity automatically redirects the communication from the first processing entity and the second processing entity to the first processing entity and the third processing entity by, for example, the second processing entity sending the universal resource locator (URL<sub>swuc</sub>) associated with the third processing entity, and a return address associated with the second processing entity (return address<sub>ws</sub>). Accordingly, the automatic redirecting is done under control of the second processing entity. The redirect command is shown as command 114 (FIG. 1).

The first processing entity, in response to the redirect command 114, generates a connection request 116 to the third processing entity 106. This is shown in block 208. This redirection is done transparently to the user of the first processing entity. As shown in block 210, the third processing entity 106 receives the redirected connection request 116. The connection request to the software update controller may include, for example, the URL of the software update controller (URL<sub>swuc</sub>), a header with the cookie of the software update controller (cookies<sub>wuc</sub>) if it exists, and the return address (return address<sub>ws</sub>) associated with the second processing entity, such as a web server. The third processing entity 106 then checks the connection request 116 for its own cookie as shown in block 212.



If the connection request does not include the appropriate cookie (cookieswuc) for the software update controller 106, the software update controller 106 recognizes that this may be the first update request required by the first processing entity. Where the redirected connection request 116 does not include the cookie of the destination processing entity, the third processing entity sends update instructions 118 to the first processing entity along with a request for a confirmation of completion of an update. This may be done, for example, by requesting the user to activate a GUI interface confirmation of update button. The update instructions, as shown in block 216, may include, for example, instructions to be displayed for the user to select which version of the software to update to or which web certificates should be embedded in the web browser and whether the new version of the web browser, or other data from the third processing entity was received by the first processing entity. Accordingly, the third processing entity causes the first processing entity to display instructions for the user to follow so that the appropriate version of the software is updated or provided to the first processing entity. The user then selects the confirmation button to indicate that the version has been selected and an update has been completed. This update confirmation data 120 is then sent from the first processing entity to the third processing entity in response to receiving the request for confirmation of the completion of an update. The update confirmation data 120 may include, for example, the URL of the third processing entity (URLswuc), a header with the return address of the second processing entity, and upgrade complete data indicating that the upgrade has been completed. The update instruction includes the new version of the software which may be communicated in any suitable form, such as encrypted using a public key encryption engine, symmetric key encryption engine or any other suitable encryption technique.

As shown in block 218, the third processing entity receives the update confirmation data 120 and parses the header to verify that the upgrade is complete. More particularly, the third processing entity checks to detect that the update complete data is included in the update confirmation data indicating that the first processing entity has properly received and suitably upgraded its web certificates, software, or other data in

accordance with the update instruction 118. The third processing entity parses the header, for example, to see that the upgrade complete data and that the cookie associated with the software update controller for that particular update has been set in the first processing entity. The third processing entity therefore sets the cookie in the first processing entity. The third processing entity then sends an update complete and redirect command 122 back to the first processing entity, for detection by the second processing entity. This update complete data and redirect command 122 contains, for example, a redirect command back to the second processing entity which may include, for example, the URL of the second processing entity along with data representing that the third processing entity cookie has been set in the first processor. As shown in block 220, the first processor generates another connection request 124 to the second processor indicating that the software update is complete. For example, this includes the URL of the second processing entity, and a header with the data software cookie set equal "yes" as provided by the third processing entity. As shown in block 222, the second processing entity receives the connection request 124, parses the (as noted above, this information may be in the URL, or in the headers, depending on the type of CGI request - POST or GET) header to detect the cookieswuc set equal yes, and then sets the cookie of the second processing entity in the first processing entity through communication 126. The process continues as needed for other processing entities and other second processing entities, as desired.

As applied to a system requiring web certificates, the first processing entity is a web browser that is operative to request a connection with the web server 104a. The web server 104a detects a need to update web certificate data based on the request for a connection from the web browser by determining, for example, that no cookie associated with the software update controller 106 has been provided to the web browser 104a. The web browser 104a automatically redirects communication from the web browser 104a and the web server, to the web browser and the web certificate update controller in response to detecting the need to update the web certificate. The web server, for example, sends the universal resource locator associated with the web certificate update controller, and other information, as desired, to automatically force the first processing

entity to communicate with the software update controller. The software update controller 106, may be a web certificate update controller that contains new versions of web browsers that contain web certificates having later expiry periods, for example. The web certificate update controller provides web certificate update complete data 122 for the web server through the web browser.

FIG. 3 represents, for example, where a different second processing entity is being contacted by the first processing entity that has already updated the software or web certificates. In this example, since the web browser already contains the updated web certificates, there is no need for the software update controller to request the user to respond to instructions. In this case, where no cookie is detected for the particular web server, for a given domain, the software update controller will send update complete data in response to the redirected command.

Accordingly, among other advantages, the disclosed system and methods provide an automatic redirection of communication to a third party entity for data updates, such as web certificate updates or other software updates by, for example, embedding a third party cookie as recognized by all servers irrespective of their different domain. In addition, communication to obtain the software update is redirected and transparent to a user, so that the user need not activate communication to obtain the necessary updates. A person manually follows the instructions at the software update server. After they finish the instructions, they are taken back to the web server they were originally visiting.

It should be understood that the implementation of other variations and modifications of the invention in its various aspects will be apparent to those of ordinary skill in the art, and that the invention is not limited by the specific embodiments described. It is therefore contemplated to cover by the present invention, any and all modifications, variations, or equivalents that fall within the spirit and scope of the basic underlying principles disclosed and claimed herein.

## Claims

### What Is Claimed Is:

- 5 1. A method for updating data for a first processing entity, for detection by at least a second processing entity comprising the steps of:
- detecting a need to update data for the first processing entity, based on a communication with the second processing entity;
- 10 automatically redirecting, communication from the first processing entity and the second processing entity, to the first processing entity and a third processing entity, under control of the second processing entity, in response to detecting the need to update data ; and
- providing update complete data, under control of the third processing entity, for the second processing entity.
- 15 2. The method of claim 1 including the step of providing updated data for the first processing entity, by the third processing entity.
3. The method of claim 1 including the step of providing update confirmation data from the first processing entity to the third processing entity.
- 20 4. The method of claim 1 wherein the step of providing update complete data includes providing the update complete data for the second processing entity, by way of the first processing entity.
- 25 5. The method of claim 1 wherein the step of providing update complete data includes providing the update complete data to the second processing entity.
- 30 6. The method of claim 1 including the step of determining whether a connection request between the first processing entity and the second processing entity includes a cookie associated with the second processing entity.

7. The method of claim 1 wherein the data includes certificate data and wherein the method also includes determining whether a certificate update should occur for the first processing entity based on whether cookies have been received by the first processing entity from the second and third processing entities.
8. The method of claim 1 further including the step of automatically redirecting communication from the first processing entity and the third processing entity to the first processing entity and the second processing entity based on update confirmation data.
9. The method of claim 1 wherein the step of automatically redirecting communication from the first processing entity further includes the step of sending, by the second processing entity, a uniform resource locator of the third processing entity, to the first processing entity in response to the second processing entity detecting the need to update data for the first processing entity.
10. The method of claim 9 including the steps of:  
    sending, by the third processing entity, update instructions to the first processing entity and a request for confirmation of completion of an update;  
    sending, by the first processing entity, update confirmation data to the third processing entity in response to receiving the request for confirmation of completion of an update.
11. The method of claim 1 wherein the step of providing update complete data under control of the third processing entity includes sending a redirect command back to the first processing entity, by the third processing entity, to direct the update complete data to the second processing entity, and wherein the method further includes the step of sending, in response to the update complete data, a cookie

from the second processing entity to the first processing entity to confirm acceptance of the update.

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12. A method for updating data for a first processing entity, for detection by at least a second processing entity comprising the steps of:

receiving an automatically redirected communication from a first processing entity and a second processing entity, redirected from a first processing entity and a third processing entity, under control of the second processing entity, in response to a detection of a need to update data for the first processing entity; and

providing update complete data, under control of the third processing entity, for the second processing entity.

13. The method of claim 12 including the steps of:

sending, by the third processing entity, update instructions to the first processing entity and a request for confirmation of completion of an update;

sending, by the first processing entity, update confirmation data to the third processing entity in response to receiving the request for confirmation of completion of an update.

detecting a need to update web certificate data for the web browser, based on a communication with the web server;

automatically redirecting, communication from the web browser and the web server, to the web browser and a processing entity, under control of the web server, in response to detecting the need to update data, by the web server sending a universal resource locator associated with the processing entity to the web browser; and

providing web certificate update complete data, under control of the processing entity, for the web server.

15. The method of claim 10 including the step of providing updated web certificate data for the web browser, by the processing entity in response to the redirected communication..

16. The method of claim 10 including the step of providing web certificate update confirmation data from the web browser to the processing entity.

17. The method of claim 10 wherein the step of providing web certificate update complete data includes providing the certificate update complete data for the web server, by way of [through] the web browser.

18. The method of claim 10 including the step of determining whether a connection request between the web browser and the web server includes a cookie associated with the web server.

19. The method of claim 10 including determining whether a certificate update should occur for the web browser based on whether cookies have been received by the web browser from the web server and third processing entity.



20. The method of claim 10 further including the step of automatically redirecting communication from the web browser and the processing entity to the web browser and the web server based on update confirmation data.

5 21. The method of claim 14 including the steps of:

sending, by the processing entity, update instructions to the web browser and a request for confirmation of completion of an update;

sending, by the web browser, update confirmation data to the processing entity in response to receiving the request for confirmation of completion of an update.

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22. The method of claim 14 wherein the step of providing web certificate update complete data under control of the processing entity includes sending a redirect command back to the web browser, by the processing entity, to direct the update complete data to the web server, and wherein the method further includes the step of sending, in response to the update complete data, a cookie from the web server to the web browser to confirm acceptance of the web certificate update.

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## METHOD AND APPARATUS FOR UDATING WEB CERTIFICATES

### Abstract Of The Disclosure

5           A method and system for updating data, such as web certificates, software applications, or other data, detects a need to update data based on a communication between a first processing entity, such as a computer with a web browser, and another processing entity, such as a web server. The web server detects the need to update data and automatically redirects communication from the first processing entity and the  
10   second processing entity, so that the first processing entity communicates with a third processing entity. The third processing entity provides updated data, such as a new version of a web browser or other software application, and also provides update complete data indicating that the software, web browser or other data has been updated. The update complete data is provided for the second processing entity so that the second  
15   processing entity will suitably perform the process requested by the first processing entity.

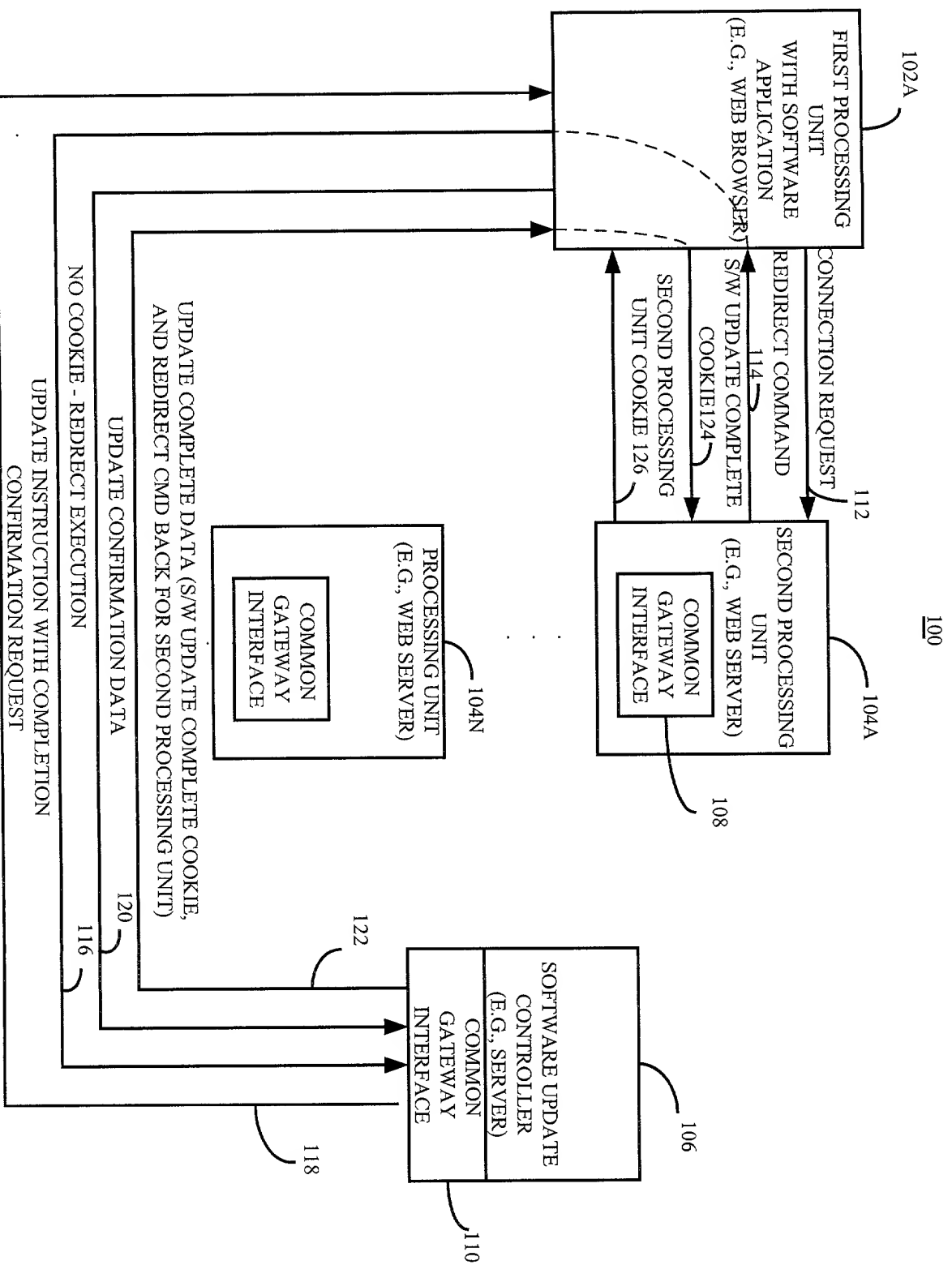
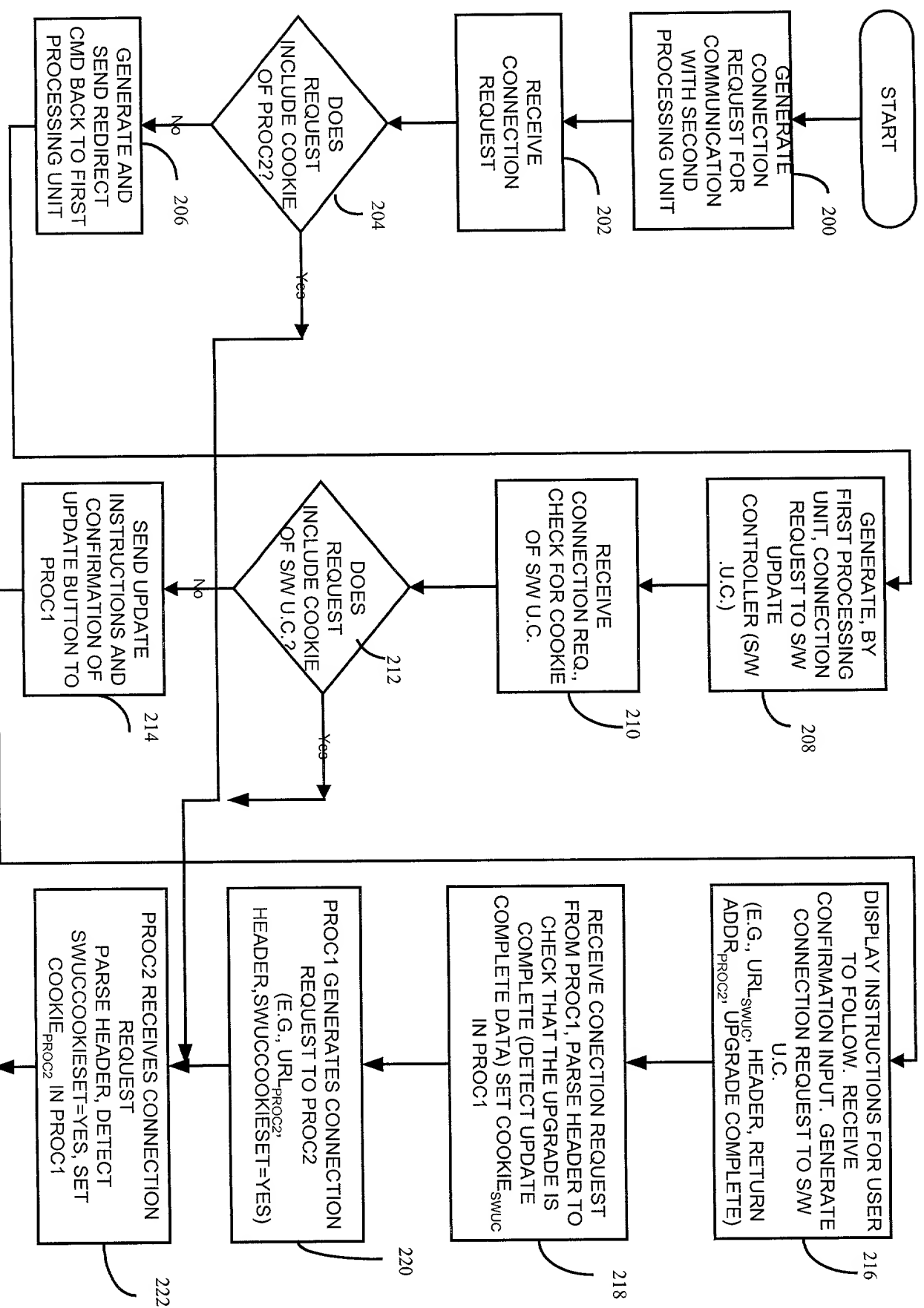
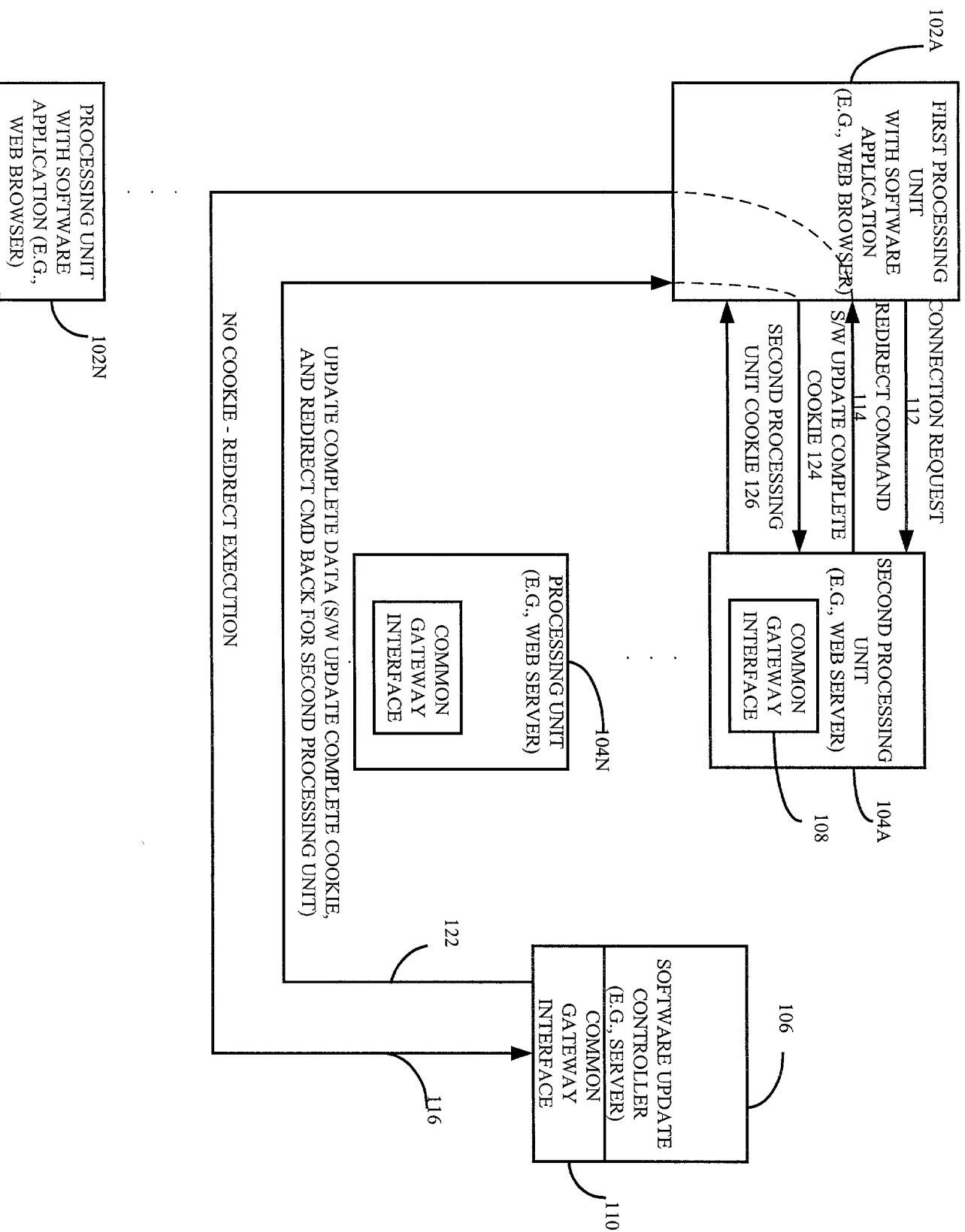


FIG. 1





**DECLARATION  
FOR UTILITY OR DESIGN  
PATENT APPLICATION**

(37 CFR 1.63)

- ☒ Declaration Submitted with Initial Filing, OR  
☐ Declaration Submitted after Initial Filing  
(surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 0500.9907201  
First Named Inventor Robert Everett Parkhill  
*COMPLETE IF KNOWN*  
Application Number  
Filing Date  
Group Art Unit  
Examiner Name

**As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Method and Apparatus for Updating Web Certificates**

the specification of which:

- ☒ is attached hereto.  
☐ was file on (MM/DD/YYYY) as United States Application Number or PCT International Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- ☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Data (MM/DD/YYYY)

- ☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

- ☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

Client No.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
Timothy W. Markison	33,534	Christopher J. Reckamp	34,414
Paul M. Anderson	39,896		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to:

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**175 West Jackson Boulevard - Suite 1015**  
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Name of Sole or First Inventor:**

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
Robert Everett		Parkhill	
Inventor's Signature	Date		
	October 14/1999		
Residence	City: Nepean	State: Ontario	Country: Canada
Citizenship: Canadian			
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**Name of Additional Joint Inventor:**

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature	Date		
Residence	City:	State:	Country:
Citizenship:			
Post Office Address			
City:	State:	ZIP:	Country:

**Name of Additional Joint Inventor:**

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature	Date		
Residence	City:	State:	Country:
Citizenship:			
Post Office Address			
City:	State:	ZIP:	Country:

☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

60604 0599450



PATENT APPLICATION  
0500.9907201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Robert Everett Parkhill

Art Group:

Serial No.

Filing Date: December 20, 1999

Title: **METHOD AND APPARATUS FOR UPDATING WEB CERTIFICATES**

Hon. Commissioner of  
Patents and Trademarks  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

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12/20/99 Rosalie Swanson  
Date Rosalie Swanson

Dear Sir:

**CHANGE OF ADDRESS NOTIFICATION**

Please note the correct address of the attorney of record as follows:

Christopher J. Reckamp  
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Please contact me at the below-listed telephone number if you have any questions  
or need additional information.

Respectfully submitted,

MARKISON & RECKAMP, P.C.

By

Christopher J. Reckamp  
Christopher J. Reckamp  
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Date: December 20, 1999

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